

UNITED STATES OF AMERICA
FEDERAL ENERGY REGULATORY COMMISSION
102 FERC ¶ 61,334

Before Commissioners: Pat Wood, III, Chairman;
William L. Massey, and Nora Mead Brownell.

Revised Public Utility Filing Requirements
Electric Quarterly Reports

Docket No. RM01-8-003
Docket No. ER02-2001-000

ORDER NO. 2001-D

ORDER DIRECTING THE REVIEW AND REFILING (IF NECESSARY)
OF FOURTH QUARTER 2002 ELECTRIC QUARTERLY REPORTS

(Issued March 28, 2003)

1. In Order No. 2001,¹ a final rule establishing revised public utility filing requirements, the Commission required public utilities to electronically file Electric Quarterly Reports summarizing the contractual terms and conditions in their agreements for all jurisdictional services (including market-based power sales, cost-based power sales, and transmission service) and transaction information for short-term and long-term market-based power sales and cost-based power sales during the most recent calendar quarter. In Order No. 2001-C,² the Commission required utilities to file their Electric Quarterly Reports using software provided by the Commission. Initial staff review of the Electric Quarterly Report submittals for the fourth quarter of 2002 has revealed some problems affecting data quality which need to be remedied.

¹Revised Public Utility Filing Requirements, Order No. 2001, 67 FR 31043, FERC Stats. & Regs. ¶ 31,127 (April 25, 2002); reh'g denied, Order No. 2001-A, 100 FERC ¶ 61,074, reconsideration and clarification denied, Order No. 2001-B, 100 FERC ¶ 61,342 (2002).

²Revised Public Utility Filing Requirements, Order No. 2001-C, 101 FERC ¶ 61,314 (2002).

2. Numerous submittals contained errors in the Total Transaction Charge field because imported data erroneously included commas for values over \$999.99. When converting that data to Comma Separated Values (CSV) format, only the data listed before the first comma in the Total Transaction Charge field were read into the Electric Quarterly Report system. This resulted in incomplete or truncated data in some submissions.³

3. Each public utility that imported data using CSV files to create its Electric Quarterly Report submission must view its data in the Electric Quarterly Report software to determine whether the report has this problem. If so, the public utility must re-import its CSV transaction file into the system, allowing the software's new edit checks to properly format the submission. The public utility must then resubmit the data to the Commission. Detailed filing instructions are available on the FERC Electric Quarterly Report web page at <http://www.ferc.gov/Electric/eqr/eqr.htm>.

4. In addition, numerous companies did not comply with the Notice issued December 20, 2002, which directed Electric Quarterly Report filers to identify book outs using the Product Name "Booked Out Power." All booked out power sales must be reported and identified in the Electric Quarterly Report submission. Public utilities must review their data to ensure that they have reported all of their power sales, and that sales that were booked out are identified as such. Companies that did not file correctly must submit corrected data.

5. Several companies did not include DUNS numbers (Dun and Bradstreet Numbers) for their customers. DUNS number is a required field. While we acknowledge that a small number of customers may not have DUNS numbers, the overwhelming majority do. A review of several companies' submissions shows no DUNS numbers for companies known to have them. Public utilities that did not file complete DUNS number information must refile their Electric Quarterly Reports to comply with Order No. 2001.

³The Electric Quarterly Report software has been revised to check the Total Transaction Charge field during the import, and to strip off extraneous characters (dollar signs and commas) allowing only the appropriate data to be brought into the system.

6. It is imperative that the Commission receive high quality data through the Electric Quarterly Report process.⁴ Additional details on submitting Electric Quarterly Report data may be found on the Commission's web site at <http://www.ferc.gov/Electric/eqr/eqr.htm>.

The Commission orders:

Public utilities shall review the Electric Quarterly Report data they filed for the fourth quarter of 2002, and if errors are detected in their submissions, the errors must be corrected, and the Electric Quarterly Report must be resubmitted within 14 days from the date of this order.

By the Commission.

(S E A L)

Magalie R. Salas,
Secretary.

⁴It is the responsibility of each public utility to ensure that submitted data are accurate. For example, the Total Transaction Charge field must equal the sum of all of the components of the transaction plus any additional Transmission Charge.